

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
27 September 2001 (27.09.2001)

PCT

(10) International Publication Number  
**WO 01/70138 A1**

(51) International Patent Classification?: A61F 2/30 // 2/42

(74) Agents: MAGNUSSON, Gustav et al.; L A Groth & Co I  
Malmö HB, P.O. Box 6153, S-200 11 Malmö (SE).

(21) International Application Number: PCT/SE01/00615

(22) International Filing Date: 22 March 2001 (22.03.2001)

(25) Filing Language: Swedish

(26) Publication Language: English

(30) Priority Data:  
0001024-9 23 March 2000 (23.03.2000) SE

(71) Applicant (for all designated States except US):  
PHILIPPE KOPYLOV AB [SE/SE]; Fredsgatan 4  
B, S-222 20 Lund (SE).

(81) Designated States (national): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM,  
HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK,  
LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX,  
MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL,  
TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,  
CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

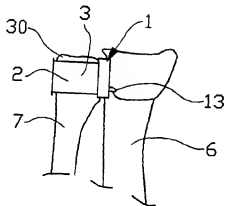
— with international search report

(72) Inventors; and

(75) Inventors/Applicants (for US only): KOPYLOV,  
Philippe [SE/SE]; Fredsgatan 4 B, S-222 20 Lund (SE).  
TÄGIL, Magnus [SE/SE]; Röråggsvägen 4, S-227 30  
Lund (SE).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: JOINT SURFACE REPLACEMENT OF THE DISTAL RADIOULNAR JOINT



(57) Abstract: The present invention is a joint surface replacement or joint prosthesis for the distal radioulnar (DRU) joint. The surface replacement comprises two parts, a radial component (1) and an ulnar component (2). Both components are designed to be inserted radially relative the radius (6) and the ulna (7). The radial (1) and ulnar (2) components are arranged to assure that the concave surface of the radial component may be pivoted and translated around the convex surface of the ulnar component during pivotation of the forearm.

WO 01/70138 A1

## Joint surface replacement of the distal radioulnar joint

### **Background**

The present invention relates to a joint prosthesis, below named joint surface replacement, for the distal radioulnar (DRU) joint of the forearm.

5

Cartilage destruction of the distal radioulnar joint is often caused by disease, such as different types of rheumatoid diseases, especially rheumatoid arthritis. Today these injuries are operated rather late in the evolution of the disease when pain evolves or mobility starts to decrease. At this time the joint most often is destroyed without remaining cartilage and with varying degrees of bone destruction. A common operation often used is the Darrach procedure, which consists of a simple resection of the ulnar head (caput ulna). The cut ulnar bone-end is now mobile and "floats" and sometimes the wrist feels unstable and painful. There is a risk for the ulna and radius to stick to each other. Sometimes the patient feels a, sometimes painful, clicking sensation, sometimes painful, when turning the forearm.

15

In the non-rheumatoid patients, the DRU joint most often is injured as a consequence of a distal radial fracture, causing a secondary joint surface incongruity of the distal radial ulnar joint. The incongruity may occur as a consequence of an intraarticular radial fracture extending into the DRU joint. The joint surface then heals with a step-off. Also a radial fracture, which does not extend into the DRU joint, might influence the congruity due to an angulation of the radial shaft and the radial joint surface of the DRU joint.

20

The consequence of an incongruity may be an osteoarthritis, which might be either symptomatic or not. Different treatment alternatives exist, none of them being particularly good. All are compromises, trading different wrist and hand functions to achieve pain relief. A common method is the Sauvee-Kapandji procedure, where the ligaments from the ulnar tip to the radius and carpus are maintained, the ulna is resected proximally and screws keep the ulnar head to the radius. The radius together with the ulnar head now pivot, within the osteotomy defect.

25

30

Other known methods comprise the Bowers hemiresection of the ulnar end with soft tissue interposition and the Watson distal ulnar resection. Methods to resect the ulnar head and replace it with a prosthesis are also described.

- 5 An object with the present invention has been to develop a device making it possible to use a method of operation, which would lead to better clinical results than by the different methods used today.

- 10 It is also an object of the invention to make it possible to keep the DRU joint as intact as possible.

The device, according to the invention, can be used for example for symptoms after a radial fracture, rheumatoid arthritis or other rheumatoid disease involving the distal radioulnar joint.

- 15 The motion, which is supported by the use of the prosthesis, is the pivotation (pronation/supination) of the forearm. The ulna is the non-moving and weight-bearing fundament of the distal radio-ulnar joint, while the radius is a mobile component with mostly compressing forces influencing the positioning during the pivotal movement. The radius turns around the ulnar head. Besides the distal joint surfaces between the radius and ulna, a prerequisite for the turning movement is the existence of joint surfaces also proximally in the elbow. The proximal radioulnar joint consists of the radial head and the ulna with a fossa radii and an anular ligament.

- 25 In the elbow the ulna makes a flexion or extension motion, whereas the radius rotates around its own axis. Both the radius and the ulna have a curvature that makes the middle point of the two bones to be far away from each other. Through this arrangement, the radius has enough space to be able to rotate around the ulna.
- 30

The stability depends on both the congruity of the two radio-ulnar joints as well as the ligaments of the two joints keeping the radius and ulna together. Muscle forces push the two bones together, still allowing them to make both a rolling and a

translatory motion relative each other. The forces in the distal radioulnar joint thus are mainly compressive. Different parts of the ulnar head will be in contact with the joint surface of the radius as the ulnar head successively translates and rotates along the joint surface. In the two extreme positions of pronation and supination the joint surface of the radius is loaded in the volar part and the dorsal part respectively. The ligaments stop the joint from luxation.

When problems arise in the distal radioulnar joint, it is common, as previously mentioned, to simply resect the ulnar head and attach it to the radius or replace it with a prosthesis. The result of this is often that the distal position of the radius is changed since the radius is resting upon the ulna. Said change of position often makes the result of the operation less satisfactory.

Through an implantation of the surface replacement according to the present invention the ulna is kept intact and is thus able to support the radius without changing the position of the radius in a non favorable way.

A surface replacement designed as in the independent claim makes it possible to achieve the above mentioned objects and demands.

The invention makes it possible to preserve the ligamentous apparatus when implanting the surface replacement. This means that the compressive forces within the distal radioulnar joint are maintained also after the operation contributing to the stabilization of the joint.

### ***Description***

Below the invention will be described more in detail by reference to the drawings below, wherein

- Fig. 1.2 the ulnar component according to the invention is shown in different perspectives,
- Fig. 3.4 the radial component according to the invention is shown in different perspectives,

- Fig. 5a, b schematic figures of the ulna and radius with the hand in its extreme rotational positions, (supination 5a and pronation 5b), is shown.
- Fig. 6a a schematic figure of the anchorage of the surface replacement according to the invention is shown,
- 5 • Fig. 6b an example of the positions of the radial and ulnar components relative each other after implantation in the distal radioulnar joint is shown,
- Fig. 7a a frontal view of an alternative design of the radial component is shown,
- Fig. 7b a side view through the section line VII-VII of fig. 7a is shown,
- 10 • Fig. 8 a perspective view of an alternative design of the ulnar component is shown,
- Fig. 8a a view of the side of the ulnar component, according to fig. 8 that faces the radial component after implantation into the distal radioulnar joint is shown,
- 15 • Fig. 8b the ulnar component, according to fig. 8 as seen in the direction of the arrow in fig. 8a is shown.

The surface replacement according to the invention comprises two parts, a radial component (1.1a) and an ulnar component (2.2a). When implanted, the two components 1 and 2 are mounted radially on the radius (6) and the ulna (7) respectively. The expression radial mount in this description means that, during the operation, the artificial components are placed at the surface of the natural joint surfaces thus forming a new surface.

25 As shown in figs. 1 and 8, the ulna component (2.2a) has a body (24) with a convex outer surface (3). The outer surface (3) in fig. 1, forms a mainly semicylindrical envelope surface around a central axis (21). The direction of the central axis is below described as the direction of the axis of the ulna component. In figure 8 is shown an embodiment where the outer surface of the body (3A) is convex also in  
 30 the longitudinal direction of the component. The ulnar component (2.2a) has an inner surface (4), which in the shown figures consists of rectangular fields forming angles to each other.

A transection through the body (24) through the longitudinal direction of the body shows a horseshoe-shaped appearance. The design of the outer and the inner surface implies that the body forms a number of juxtaposed and to each other integrated mainly quadratic or rectangular elements (27). The two extreme elements (27a) are normally parallel to each other and intended to point away from the radius when the component is implanted on to the ulnar head.

The ulnar component (2) has a length L (fig 1) corresponding to the length of the natural ulnar head and to the size of the normal joint surfaces distally between the radius (6) and ulna (7). The ulnar component (2.2a) is made of surgical stainless steel but can of course be made out of other materials such as pyrocarbon, ceramics, or composites. During the operation, the ulnar component is intended to be placed over the ulnar head (30).

The ulnar component (2) is manufactured in several sizes to make it possible to adjust the size of the prosthesis to the size of the ulnar head to be resurfaced.

As shown in figures 3, 4, 7a and 7b, the radial component (1) has a concave (14) surface intended to face the ulnar component when inserted. Normally the concave surface is a part of a cylindrical surface but in some embodiments the surface is concave also in the longitudinal direction of the radial component as well. Usually the radial component (1), on the side (28) opposing the concave side (14), the radial component (1) is supplied with at least one protruding part (5a, 5b, 13), intended to be slid into a cut of the radius. The purpose of the protruding part is to secure the anchorage of the radial component to the radius. The protruding part could for example be formed as a keel (5a, 5b) or a shelf (13). The radial component 1, 1a is made in different thicknesses so that by choosing the proper thickness, the joint and its ligaments can be adjusted to achieve optimal stability and range of motion.

In the embodiment shown in figs. 7a and 7b, the radial component 1a comprise a bearing plate (12) and a base plate (11) The latter is normally made from metal.

The radial component (1, 1a) is manufactured in various lengths to make it possible at each and every operation to choose the right combination of length and thickness in relation to the size of the ulnar component (2) and the distance between the two cut surfaces of the radius and the ulnar head. The concave surface (14) of the radial component has a size sufficient to allow the ulnar component to be able to continuously abut against the concave surface of the radial component during pronation or supination to and from the two extreme positions of rotation.

The radial and the ulnar component (1, 1a) and (2, 2a) respectively, are usually anchored with or without bone cement (polymethylmetacrylat, PMMA) to the radius (6) and ulna (7) respectively.

The radial and ulnar components are designed so that the radius of the bending radius of external surface of the ulnar component (3.3a) is less than that of the concave surface of the radial component (14) in each part opposing each other during a full pivotation of the arm. By this design of the convex outer (3.3a) and concave (14) surfaces, the ulnar head is assured to move relative to the concave radial surface (14) in both a rolling as well as a translatory movement.

In fig. 5a, 5b is shown schematically how the radius (6) and the ulna (7) are moved relative to each other when the forearm is turned 180 degrees. In the figure one can see that the radius rolls around the ulna. According to the invention, the radial component (1.1a) is designed to be placed in the distal part of the radius and the ulnar component (2.2a) to be placed on the ulnar head.

In figs. 6a and 6b, examples of the radial component (1.1a) and the ulnar component (2.2a) positions relative each other are shown in situ in the distal radioulnar joint. As seen in figs. 6a and 6b, the design of the radial (1.1a) and ulnar component (2.2a) allows the required rolling and translatory relative motion, as the arm is rotated, during which the ulna component, radially attached to the ulna head, moves along the external concave surface (14) of the radial component.

To prepare the radius (6) and ulna (7) for the surface replacement, the radial and ulnar bones have to be cut during the operation. The radial (6) cut leaves a flat

surface and the ulnar cut leaves several mainly flat surfaces in order to receive the radial (1.1a) and ulnar component (2.2a). As previously noted the radius is prepared for the extruding part (13) or the parts 5a, 5b of the radial component. The protruding parts of the radial component (the keel or the shelves) are intended to  
5 secure the anchoring of the radial component to the radial bone.

When the surface replacement is implanted according to the invention, the ulnocarpal ligaments and the triangular fibrocartilaginous complex (TFCC or the disc) normally are maintained. Thereby, the passive compressive forces of the distal  
10 radioulnar joint are maintained. A radial cut thus cannot be made where these ligaments insert to the bone i.e. distal to the fovea on the radius or on the styloid of the ulna. The radial (6) and ulnar (7) cuts for the surface replacements (1, 1a; 2, 2a) thus are made immediately proximal to the insertion of the radioulnar ligaments. On the radial cut a flat surface is formed with holes for the pegs (5a, 5b) or  
15 slots for the protruding shelf (13). On the ulna (7) rectangular angled cuts are prepared that will fit the contour (9) of the internal surface (2) of the ulnar component (4).

During the operation, the cut and prepared ulnar end (7) can be pivoted to facilitate the cut and the mounting of the ulnar component (2.2a). For the radius it is  
20 difficult to reach the whole cut bone surface and the radial component have to be slid down into place between the ulna and radius. In the designs with pegs (5), these are pushed into prepared holes or slots of the radius. Hereby, the radial and the ulnar components are stabilized in their positions on the radius and ulna.

25 The orientation of the distal joint surfaces of the radius and ulna varies between individuals. In spite of this, a standard orientation of the joint surfaces can be used for the radial and ulnar component after being positioned on radius and ulna respectively, provided that the components fit. An adjustment is possible intraoperatively when the cuts are made. In the embodiment where the outer surface of the  
30 ulnar component (3a) is convex also in the longitudinal direction, the standard orientation normally will be accepted by the joint surface of the radial component. Since both components are immediately mechanically stabile, postoperative motion can be started immediately by the patient.



The description above demonstrates a limited number of possible designs of the invention. The professional realizes that the invention hosts a vast number of modifications and embodiments within the framework of the following patent  
5 claims.

**CLAIMS**

1. A surface replacement for the distal radioulnar joint, characterized in that it comprises a radial component (1) and an ulnar component (2), each intended to be placed radially on radius (6) and ulna (7) respectively.
2. The surface replacement of claim 1, characterized in that the components (1, 2) after implantation are arranged to be facing each other during rotational motion of the forearm.
3. The surface replacement of claim 1 or 2, characterized in that the ulnar component (2) has a mainly convex outer surface and that the ulnar component (2) has an inner surface of quadratic or rectangular fields angled to each other.
4. The surface replacement of claim 3, characterized in that the ulnar component (2) comprises a number of juxtapositioned and integrated mainly quadratic or rectangular elements (27, 27a)
5. The surface replacement of claim 4, characterized in that out of said elements (27, 27a), the two outermost positioned ones (27a) are parallel to each other.
6. The surface replacement according to anyone of the previous claims, characterized in that the radial component (1) has a concave surface (14) intended to, after implantation, be faced towards the ulnar component (2) and during pivotation in the distal radioulnar joint, bear on to the outer surface of the ulnar component (3).
7. The surface replacement according to anyone of the previous claims, characterized in that the radial component (1) opposite the concave surface (14) has a surface (28) having at least one protruding part (5a, 5c, 13) intended to be inserted into holes or slots of the radius.

8. The surface replacement of claim 7, characterized in that the extruding part is formed as one or more pegs (5a, 5b), or as an elongated shelf (13).
- 5 9. The surface replacement of claims 6-8, characterized in that the radial (1) component comprises a base anchoring plate (11) onto which and an articulating plate (12) is fixed.
10. The surface replacement according to anyone of the previous claims,  
10 characterized in that the radial and ulnar components, (1) and (2) respectively, are supposed to be anchored to the radius (6) and ulna (7) using bone cement.

1/5

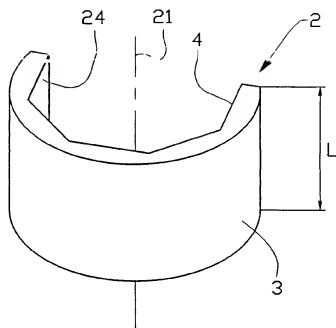


Fig.1

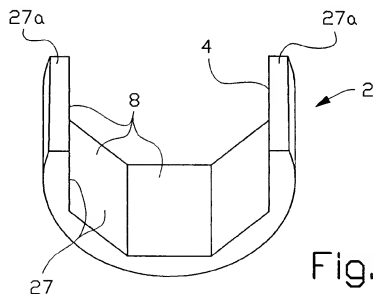


Fig.2

2/5

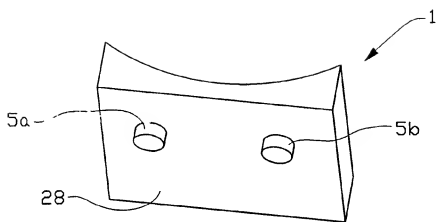


Fig. 3

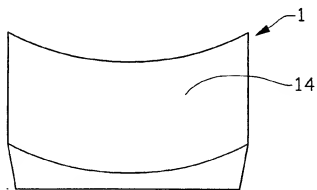


Fig. 4

3/5

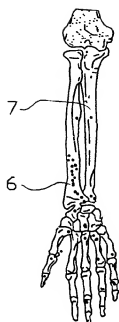


Fig. 5a

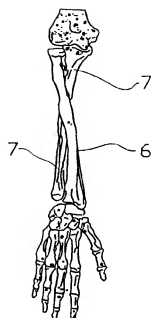


Fig. 5b

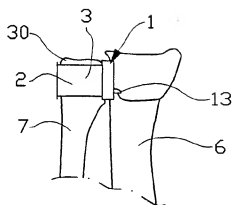


Fig. 6a

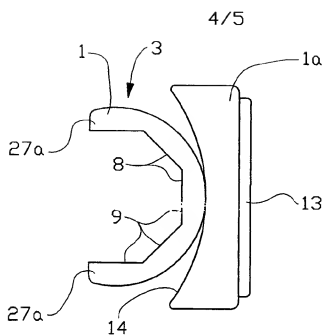


Fig. 6b

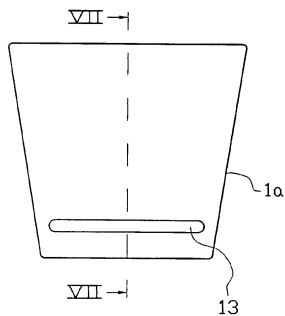


Fig. 7a

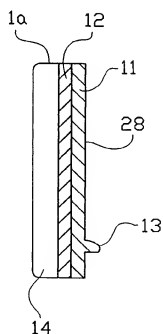


Fig. 7b

5/5

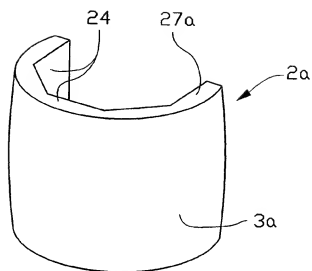


Fig. 8

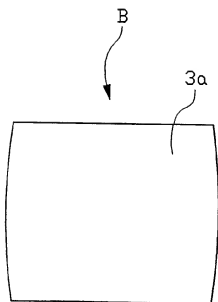


Fig. 8a

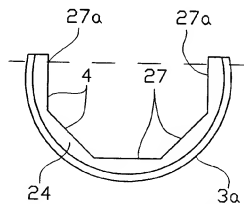


Fig. 8b



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 01/00615

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: A61F 2/30 // A61F 2/42

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5951604 A (SCHEKER), 14 Sept 1999 (14.09.99), abstract  --	1-10
A	WO 9116017 A1 (MEDEVELOP AB), 31 October 1991 (31.10.91), abstract  -- -----	1-10

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents

"A" document defining the general state of the art which is not considered to be of particular relevance

"B" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

27 June 2001

03 -07- 2001

Name and mailing address of the ISA

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. + 46 8 666 02 86

Authorized officer

Ingrid Falk/LS

Telephone No. + 46 8 782 25 00

# INTERNATIONAL SEARCH REPORT

Information on patent family members

28/05/01

International application No.

PCT/SE 01/00615

Patent document cited in search report			Publication date	Patent family member(s)		Publication date
US	5951604	A	14/09/99	NONE		
WO	9116017	A1	31/10/91	AU	7780191 A	11/11/91
				SE	9001520 D	00/00/00
				US	5108444 A	28/04/92